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Funded by

Conformity Assessment Procedure

of Energy-using Products (EuPs) for the compliance

with the EuP Directive 2005/32/EC

leading to CE marking certification

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INTRODUCTION

Before placing an Energy-using Product (EuP) into the European market, manufacturers must ensure that their products conform to the essential requirements of the applicable directives. CE marking is an indication that the product has been subject to conformity assessment procedure as provided in the directives. However, new dimension(s) has been added to the CE Marking for the EuP Directive by mandating manufacturers to implement ecodesign in product design and development.

Often SMEs are not familiar with the conformity assessment procedure in the EuP Directive. In particular, no experience on its certification of CE marking of EuPs exists. This step by step procedure helps SMEs to comply CE marking requirements with EuP Directive.

INTRODUCTION (CON'T)

What are Energy-using Product (EuP)?

Energy-using products (EuPs), which use, generate, transfer or measure energy (electricity, gas, fossil fuel), such as boilers, computers, televisions, transformers, industrial fans, vacuum cleaners and set top boxes etc.



What are Implementing Measure (IM)?

The EuP Directive makes specific provisions by product category called **Implementing Measure (IM).** Each IM acts as an individual standard, which provides the necessary conformity assessment regimen for a particular product category. Each implementing measure's conformity assessment regimen covers energy consumption limits and environmental regulations by product categories.

Step by step procedure

To conduct conformity assessment, SMEs should follow the steps below:

Step 1: Identify applicable Implementing Measure (IM) to product

Step 2: Assess product by applicable standards and tests

Step 3: Conduct environmental performance assessment for product

Step 4: Identify significant environmental parameter(s)

Step 5: Conduct product redesign

Step 6: Conduct environmental performance assessment for redesigned product

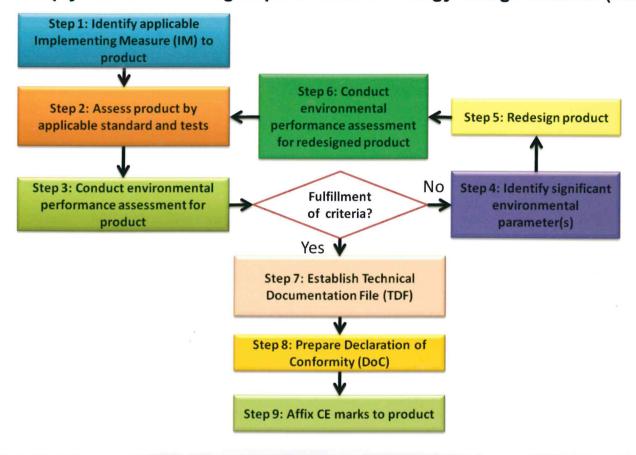
Step 7: Establish Technical Documentation File (TDF)

Step 8: Prepare Declaration of Conformity (DoC)

Step 9: Affix CE marking to product

Conformity assessment procedure

to comply with CE marking requirements of Energy-using Products (EuPs)



STEP 1: IDENTIFY APPLICABLE IM TO PRODUCT

Below is a list of 39 product categories which have been currently enforced with implementing measures or under studied. Manufacturers or their authorized representatives should identify the IMs which are applicable to their products.

Product category	Commission regulation No.	Status	Enforcement date
Standby and off mode electric power consumption of household and office equipment	1275 / 2008	EC Regulation in force	2010. 01. 07
Simple-set-top boxes	107 / 2009	EC Regulation in force	2010. 02. 25
Lighting products in the domestic and tertiary sectors	859 / 2009	EC Régulation in force	2009. 09. 01
External power supplies	347 / 2010	EC Regulation in force	2010. 04. 27
Televisions	278 / 2009	EC Regulation in force	2010. 01. 07
Refrigerators and Freezers	642 / 2009	EC Regulation in force	2010. 07. 01
Electric Motors	643 / 2009	EC Regulation in force	2011. 06. 16
Circulators	640 / 2009	EC Regulation in force	2013. 01. 01
Washing Machines	641 / 2009	EC Regulation in force	2011. 12. 01
Dishwashers	1015 / 2010	EC Regulation in force	2011. 12. 01
Fans driven by motors with an electric input power between 125 W and 500 kW	1016 / 2010	EC Regulation in force	2013. 1.1

Step 1 Step 2 Step 3 Step 4 Step 5 Step 6 Step 7 Step 8 Step 9	Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9	
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STEP 1: IDENTIFY APPLICABLE IM TO PRODUCT (CON'T)

Product category	Status
Personal Computers and computer monitors	Discussed by consultation forum. Regulation probable 2009. 10. 09
Vacuum cleaner	Study completed, discussed by consultation forum on 2010. 06. 25
Residential room conditioning appliances (airco and ventilation)	Study completed, discussed by consultation forum on 2010. 04. 23
Residential room conditioning appliances (comfort fans)	Study completed, discussed by consultation forum on 2010. 04. 23
Complex set top boxes (with conditional access and/or functions that are always on)	Study completed, discussed by consultation forum on 2009. 10. 12
Boilers and combi-boilers	Study completed, discussed by consultation forum on 2009. 06. 24
Ventilation fans (non-residential)	Study completed, discussed by consultation forum on 2008. 05. 27
Water pumps(commercial buildings, drinking water, food, agriculture)	Study completed, discussed by consultation forum 2008. 05. 29
Water heaters	Study completed, discussed by consultation forum on 2008. 07. 08
Laundry dryers	Study completed
Domestic lighting products II - reflector lamps and luminaries (directional)	Study completed

STEP 1: IDENTIFY APPLICABLE IM TO PRODUCT (CON'T)

Step 6

Step 7

Step 8

Step 9

Step 5

Step 1

Step 2

Step 3

Step 4

Product category	Status
Computer Tomography (CT), Ultrasound, X-Ray, Magnetic Resonance Imaging (MRI), Nuclear Medicine	Self regulatory initiative probably
Commercial refrigerators and freezers, including chillers, display cabinets and vending machines	Study completed
Solid fuel small combustion installations (in particular for heating)	Study completed
Local room heating products	Study underway
Central heating products using hot air to distribute heat(other than CHP)	Study underway
Domestic and commercial ovens (electric, gas, microwave), including when incorporated in cookers	Study underway
Domestic and commercial hobs and grills, including when incorporated in cookers	Study underway
Non-tertiary coffee machines	Study underway
Professional washing machines, dryers and dishwashers	Study underway
Networked standby losses of EuPs	Study underway

Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9	
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STEP 1: IDENTIFY APPLICABLE IM TO PRODUCT (CON'T)

Product category	Status
Domestic uninterruptible power supplies (UPS)	Study underway
Refrigerating and freezing equipment: service cabinets, walk-in cold rooms, chillers, ice makers, ice cream and milk-shake machines, minibars	Study underway
Transformers: distribution transformers, power Transformers	Study underway
Sound and imaging equipment: DVD/video players and recorders, video projectors, video game consoles	Study underway
Machine tools	Study underway
Air-conditioning and ventilation systems	Study underway

If you want to know more detail about the implementing measures, please go to:

http://ec.europa.eu/energy/efficiency/ecodesign/legislation en.htm

Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9
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STEP 2: ASSESS PRODUCT BY APPLICABLE STANDARDS AND TESTS

Manufacturers should select appropriate standards and testing methods to assess their product compliance according to the applicable implementing measure. Measurement of energy consumption should be performed taking into account the generally recognized and undating standards. For example:

Standard No.	Standard name	Descriptions	Applicable products
EN/IEC 62301:2005		The methods defined in this standard is intended to be used to measure power consumption of household appliances and equipments during standby mode.	Appliances
IEC 62087:2002/ EN 62087:2003	the power consumption of	This standard specifies methods of measurement for the power consumption of television sets, video recording equipment, Set Top Boxes, audio equipment and multi-function equipment for consumer use.	equipment, Set Top Boxes, audio equipment and multi-
EN 60312		The purpose of this standard is to specify essential performance characteristics of vacuum cleaners being of interest to the users and to describe methods for measuring these characteristics.	_
EN 50285		Specifies the test conditions and method of measurement of luminous flux, lamp wattage and lamp life as given on a label on the lamp packaging, together with a procedure for verification of the declared values.	use

If above standards are not applicable to your product, please refer to the preparatory studies which can be downloaded from: http://ec.europa.eu/energy/efficiency/studies/ecodesign_en.htm

Step 1 Step 2 Step 3 Step 4 Step 5 Step 6 Step 7 Step 8 Step 9

STEP 3: CONDUCT ENVIRONMENTAL PERFORMANCE ASSESSMENT FOR PRODUCT

Purposes of environmental performance assessment for product are:

- 1. Ascertain significant environmental parameters related to the products
- 2. Identify potential improvement areas

For example, some tools that can be used:

	MET Matrix (MET)		
Matrices	AT&T Matrix and Target Plot		
	Boeing Process Environmental Matrix		
	ABC Analysis		
	Recycling Checklist for European Council's Directive on WEEE		
Checklists	Ecodesign Checklist Method (ECM)		
Checklists	Eco-estimator		
	Philips's Fast Five Checklist		
	Sony's Green Product Check Sheet and Product Profile		
	Eco-compass Eco-compass		
Spiderweb Diagrams	E-concept Spiderweb Diagram		
	Life-cycle Design Strategies Wheel (LiDS)		
	Cumulative Energy Demand Analysis (CED)		
Parametric Methods	Material Input per Service Unit (MIPS)		
	Eco-indicator (EI)		
	Simapro		
Overstitetive Coftween	Gabi		
Quantitative Software	EcoScan		
	Umberto		

Details of each tool can be found in the Ecodesign tool box from:

http://www.pctech.ise.polyu.edu.hk/ecodesign/10 e.html

Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9
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STEP 4: IDENTIFY SIGNIFICANT ENVIRONMENTAL PARAMETER(S)

After performing steps 2 and 3, manufacturers can conduct conformity assessment [go to Step 7] directly if their products comply with the IM requirements. Otherwise, they should redesign their products by identify significant environmental parameter(s) [go to Step 5]. According to the EuP Directive (2005/32/EC), environmental parameter(s) can be classified as follows:

IM-focused environmental parameters:

- . Consumption of energy, water and other resources throughout the life cycle
- . Quantity and nature of consumables for use and maintenance

Other related environmental parameters:

- . Weight and volume of product
- . Use of substances classified as hazardous to health and/or the environment
- . Use of recycled materials
- . Incorporation of used components
- . Ease of reuse and recycling
- . Life time of product
- . Amounts of (hazardous) waste generated
- . Emission to air, water and soil

STEP 5: CONDUCT PRODUCT REDESIGN

If the product cannot fulfill the requirements (e.g. energy consumption) of implementing measure, it is necessary to have product redesign. Some of the suggested redesign solutions for product improvement are listed below:

Environmental parameters	Redesign solutions						
Consumption of energy, water and other resources throughout the life cycle	Raw material selection Minimize the energy, water and other resources content in conversion from raw materials Manufacturing Select processes which are efficient or renewable in use of energy, water and other resources Reduce the number of production steps Monitor the use of energy, water and other resources of production equipment Select product equipment with low consumption of energy, water and other resources Packaging, transportation and distribution Eliminate unnecessary packaging of product Select transportation / distribution methods that have lowest emissions Use energy efficient transportation / distribution methods						
	Use Minimize energy consumption in stand-by mode Select the lowest appropriate power supply devices Make use of low voltage logic design End of life Use snap-fits to reduce the number of screws Minimize energy, water and other resources consumption in disassembly processes						

STEP 5: CONDUCT PRODUCT REDESIGN (CON'T)

Environmental parameters	Redesign solutions
Quantity and nature of consumables for use and maintenance	 Reduce number of consumables needed Reduce wastage of consumables
The weight and volume of product	 Define realistic requirements for stiffness and strength Use ribbed structures for stiffness instead of thick walls Optimize wall thickness Use standardized components
Use of substances classified as hazardous to health and /or the environment	Use alternative materials instead of hazardous materialsUse biodegradable materials
Use of recycled materials	 Select recyclable materials Maximize the content of recycled materials
Incorporation of used materials	Minimize type of materials usedDeign with modularity
Ease of reuse and recycling	 Avoid detrimental design of reuse and recycling Design for ease of disassembly
Life time of product	 Improve reliability and durability Design for ease of maintenance and repairability Use modular product structure design
Amounts of (hazardous) waste generated	 Increase utilization of materials Use alternative materials instead of hazardous materials Reduce the number of consumables Use recyclable/ renewable/ reusable consumables
Emission to air, water and soil	 Select transportation methods with lower emission Increase percentage of loading

Step 1

Step 2

Step 3

Step 4

Step 5

Step 6

Step 7

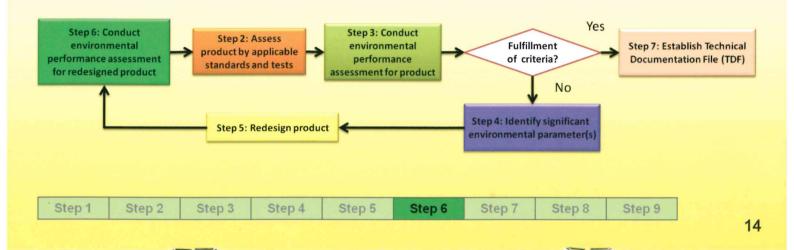
Step 8 Step 9

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STEP 6: CONDUCT ENVIRONMENTAL PERFORMANCE ASSESSMENT FOR REDESIGNED PRODUCT

After redesigning the product, manufacturers should check its compliance with IM requirements [Go back to step 2]. The redesigned product should be assessed by the applicable standard (s) and environmental performance assessment again as specifications of the original product may be changed.

If non-compliance of redesigned product still exists, product should be redesigned until all requirements from IM of EuP Directive have been met.



STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF)

Manufacturers can carry out the conformity assessment procedure by choosing internal design control (Annex IV) or management system for assessing conformity (Annex V) of EuP Directive 2005/32/EC. Since internal design control focuses on product level while management system for assessing conformity focuses on corporate level, conformity assessment by internal design control is highly recommended for SMEs.

According to EuP directive, manufacturers have to compile a **Technical Documentation File (TDF)** to conduct conformity assessment by internal design control. A complete set of TDF must contain **seven elements**:

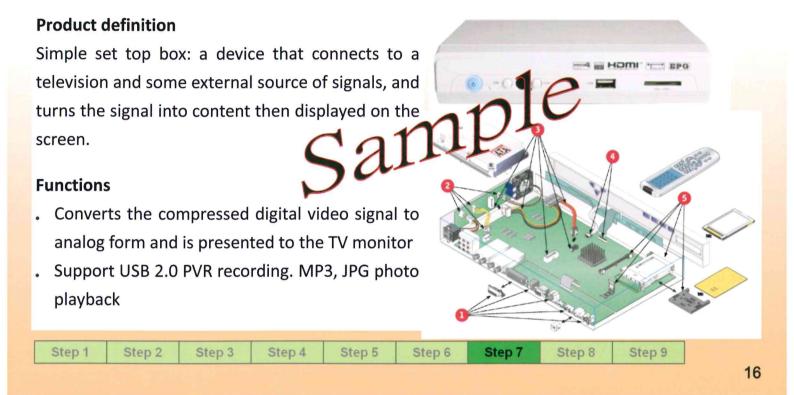
TDF elements:
Element 1. A general description of the product and of its intended use (same requirement of pervious EU directives)
Element 2. Results of relevant environmental performance assessments
Element 3. Ecological profile, where required by Implementing Measure (IM)
Element 4. Elements of product design specification relating to environmental design aspects of the product
Element 5. A list of appropriate testing standards applied
Element 6. A copy of information concerning the environmental design aspects of the product
Element 7. Testing report of measurements on the IM's requirements

A checklist of TDF can be found in P.26 and P.27.

Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9	
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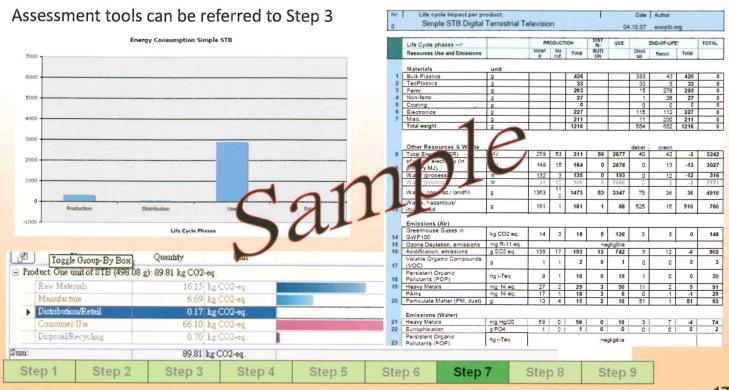
STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF) (CON'T)

Element 1: A general description of the product and of its intended use (same requirement of pervious EU directives)



STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF) (CON'T)

Element 2: Results of relevant environmental performance assessments



STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF) (CON'T)

Element 3: Ecological profile, where required by Implementing

Measure (IM)

A description of inputs and outputs associated with an energy using product throughout its life cycle which expressed in measurable physical quantities.

For example:

- Materials (kg)
- Energy consumption (GJ)
- Water consumption (m³)
- Emission to water (kg)



Materials
Total (kg) of which
Disposal

Recycled (kg)

Other resources
Total energy (GJ)

Step 1 Step 2 Step 3 Step 4 Step 5 Step 6 Step 7 Step 8 Step 9

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STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF) (CON'T)

Element 4: Elements of product design specification relating to environmental design aspects of the product

Sample: Product design specifications relating to environmental design aspects of a simple set top box

Use stage Fixed Power consumption not Indicate energy	
	ical power consumption
Fixed Power consumption not Indicate energy consumption in the standby mode.	Active standby mode

Step 1 Step 2 Step 3 Step 4 Step 5 Step 6 Step 7 Step 8 Step 9

STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF) (CON'T)

Element 5: A list of appropriate testing standards applied

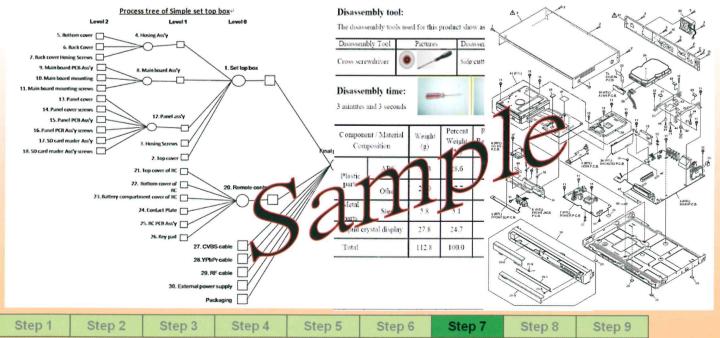
Testing standards applied to the development of TDF of a simple set top box

Standard name	Contents	Application area	
EN/IEC 62301:2005	Measurement of electrical power consumption in the standby mode	Household Electrical Appliances	
IEC 62087:2002/ EN 62087:2003	consumption of digital	Specime to dig tal tolevision set top boxes with de ailed converge of test signal and external loads	
CEA-2013	Measuremen a maximum limit of tanaby mode	Specific to digital television set top boxes includes Treatment of parasitic peripherals such as security cards	
IEC 62430	Environmentally Conscious All Electrical and Electronic Product Design of electro technical products		
Step 1 Step 2	Step 3 Step 4 Step 5 St	ep 6	

STEP 7: ESTABLISH TECHNICAL DOCUMENTATION FILE (TDF) (CON'T)

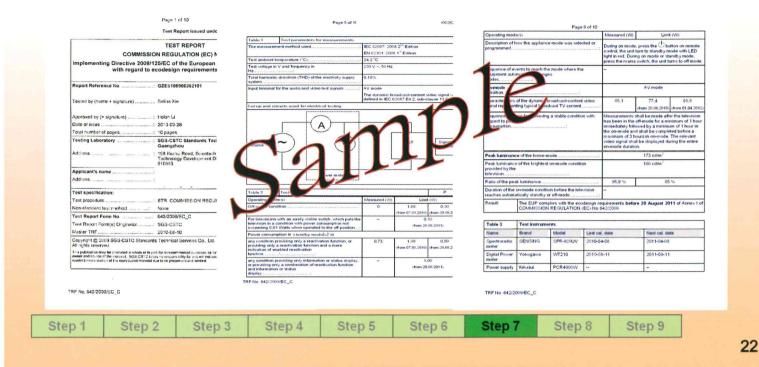
Element 6: A copy of information concerning the environmental design aspects of the product

Disassembly / Recycling / WEEE information of a simple set top box



Element 7: Testing report on measurements of the ecodesign requirements

Testing report of a simple set top box



STEP 8: PREPARE DECLARATION OF CONFORMITY (DoC)

According to the EuP Directive 2005/32/EC Annex VI, EC Declaration of Conformity (DoC) must contain the following elements:

- 1. Name and address of the manufacturer
- 2. A description of the model

Step 1

Step 2

- 3. References of the harmonized standards applied
- 4. Other technical standards and specifications used
- Reference to other Community legislation providing for the affixing of the CE mark that is applied
- Identification and signature of the person empowered to bind the manufacturer

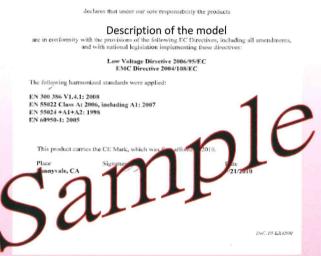
Step 4

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Step 6

Step 7

Step 3



Step 8

Declaration of Conformity

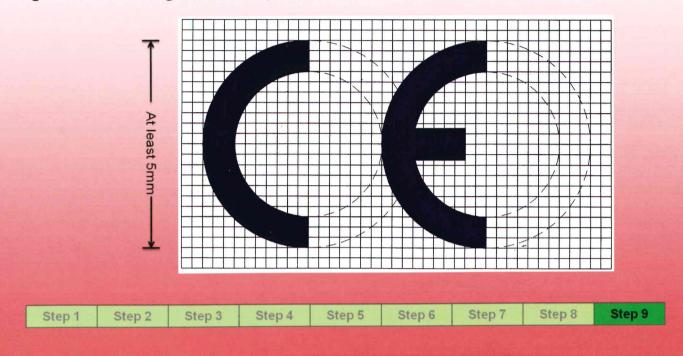
CE

Name:

Step 9

STEP 9: AFFIX CE MARKING TO PRODUCT

The CE marking must be affixed to the **product**. Where this is not possible, it must be affixed to the **packaging** and to the **accompanying documents**. The CE marking must have a height of at least 5 mm. If the CE marking is reduced or enlarged the proportions given in the above graduated drawing must be respected.



REFERENCES

- Directive 2009/125/EC of the European parliament and of the council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products
- . Commission Regulation (EC) No 107/2009 of 4 February 2009 implementing Directive 2005/32/EC of the European Parliament and of the Council with regard to ecodesign requirements for simple set-top boxes

APPENDIX: CHECKLIST OF TECHNICAL DOCUMENTATION FILE (TDF)

Element 1 of Step 7 (P.16)	
1. Name of manufacturer	
2. Address	
3. Product name	
4. Model	
5. Input voltage	
6. Power consumption	
7. Product description	
8. Product function(s)	
Element 2 of step 7 (P.17)	
9. Environmental performance assessment results	
Element 3 of step 7 (P.18)	
10. Ecological profile (optional)	
Element 4 of step 7 (P.19)	
11. Product design specifications relating to environmental design aspects	

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-	-	P4			

CHECKLIST OF TECHNICAL DOCUMENTATION FILE (TDF)(CON'T)

Element 5 of step 7 (P.20)	
12. List of testing standard(s) applied	
13. Testing specification	
14. Testing procedure	
15. Test item description	
16. Summary of testing	
Element 6 of step 7 (P.21)	
17. A copy of information concerning environmental design aspects	
Element 7 of step 7 (P.22)	
18. Testing report on measurements of ecodesign requirements	
19. Technical reports and certificates	
20. Documentation of inspection and testing	
21. Inspection, measuring and test equipment	
Step 8 (P.23)	
22. Declaration of Conformity signed by manufacturer	
23. Declaration of Conformity signed by subcontractors	
24. Declaration of Conformity signed by sub-suppliers	
25. Technical documentation from subcontractors	
26. CE marking affixed to the product, packaging or accompanying documents	